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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		<i>Attorney Docket No.</i>	500.39879VX1	
		<i>First Inventor</i>	T. KOBAYASHI	
		<i>Title</i>	NONVOLATILE SEMICONDUCTOR MEMORY DEVICE WITH IMPROVED GATE OXIDE FILM ARRANGEMENT	
		<i>Express Mail Label No.</i>		
APPLICATION ELEMENTS <i>SEE MPEP chapter 600 concerning utility patent application contents.</i>			ADDRESS TO:	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>[Total Pages: 57]</i> <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <i>[Total Pages: 17]</i></p> <p>5. Oath or Declaration <i>[Total Pages: 3]</i></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>if applicable, all necessary</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	
ACCOMPANYING APPLICATION PARTS				
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(If foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>				
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/811,444</p> <p>Prior application information: Examiner: K. Quinto Art Unit: 2826</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>				
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Name	Gregory E. Montone		Registration No. (Attorney/Agent)	28,141
Signature			Date	October 15, 2003

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
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FEE TRANSMITTAL for FY 2003		<i>Complete if Known</i>																																																																																																																																																																																																																					
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<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 01-2135 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP The Commissioner authorized to: (check all that apply) <input type="checkbox"/> Charge fees indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account.		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td colspan="2">Surcharge – late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td colspan="2">Surcharge – late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td colspan="2">130 Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td colspan="2">2,520 For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td colspan="2">920* Requesting publication of SIR prior to Examination action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td colspan="2">1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td colspan="2">55 Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td colspan="2">205 Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td colspan="2">465 Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td colspan="2">725 Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td colspan="2">985 Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td colspan="2">160 Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td colspan="2">160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td colspan="2">140 Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td colspan="2">1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td colspan="2">55 Petition to revive – unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td colspan="2">650 Petition to revive – unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td colspan="2">650 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td colspan="2">235 Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td colspan="2">315 Plant issue fee</td><td></td></tr> <tr><td>1406</td><td>130</td><td>1460</td><td colspan="2">130 Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td colspan="2">50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td colspan="2">180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td colspan="2">40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td colspan="2">375 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td colspan="2">375 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td colspan="2">375 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td colspan="2">900 Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="6" style="text-align: center;">Other fee (specify) _____</td> </tr> <tr> <td colspan="6" style="text-align: center;">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="6" style="text-align: right;">SUBMITTED BY</td> </tr> <tr> <td colspan="6" style="text-align: right;"><i>Complete (if applicable)</i></td> </tr> <tr> <td>Name (Print/Type)</td> <td colspan="2">Gregory E. Montone</td> <td>Registration No. (Attorney/Agent)</td> <td>28,141</td> <td>Telephone</td> </tr> <tr> <td>Signature</td> <td colspan="2"><i>[Signature]</i></td> <td>Date</td> <td colspan="2">October 15, 2003</td> </tr> </tbody></table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description		Fee Paid	1051	130	2051	Surcharge – late filing fee or oath			1052	50	2052	Surcharge – late provisional filing fee or cover sheet			1053	130	1053	130 Non-English specification			1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination			1804	920*	1804	920* Requesting publication of SIR prior to Examination action			1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action			1251	110	2251	55 Extension for reply within first month			1252	410	2252	205 Extension for reply within second month			1253	930	2253	465 Extension for reply within third month			1254	1,450	2254	725 Extension for reply within fourth month			1255	1,970	2255	985 Extension for reply within fifth month			1401	320	2401	160 Notice of Appeal			1402	320	2402	160 Filing a brief in support of an appeal			1403	280	2403	140 Request for oral hearing			1451	1,510	1451	1,510 Petition to institute a public use proceeding			1452	110	2452	55 Petition to revive – unavoidable			1453	1,300	2453	650 Petition to revive – unintentional			1501	1,300	2501	650 Utility issue fee (or reissue)			1502	470	2502	235 Design issue fee			1503	630	2503	315 Plant issue fee			1406	130	1460	130 Petitions to the Commissioner			1807	50	1807	50 Processing fee under 37 CFR 1.17(q)			1806	180	1806	180 Submission of Information Disclosure Stmt			8021	40	8021	40 Recording each patent assignment per property (times number of properties)			1809	750	2809	375 Filing a submission after final rejection (37 CFR § 1.129(a))			1810	750	2810	375 For each additional invention to be examined (37 CFR § 1.129(b))			1801	750	2801	375 Request for Continued Examination (RCE)			1802	900	1802	900 Request for expedited examination of a design application			Other fee (specify) _____						*Reduced by Basic Filing Fee Paid						SUBMITTED BY						<i>Complete (if applicable)</i>						Name (Print/Type)	Gregory E. 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